



**Annexure – B**

**Investor Complaint Data by Stock Broker**

**Data for month ending- July 2024**

| Sr. No. | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|---------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|         |                         |                                     |                           |               |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1       | Directly from Investors | -                                   | -                         | -             | -         | -                                 | -                              | -                                  |
| 2       | SEBI (SCORE S)          | -                                   | -                         | -             | -         | -                                 | -                              | -                                  |
| 3       | Stock Exchanges         | -                                   | -                         | -             | -         | -                                 | -                              | -                                  |
| 4       | Other Sources (if any)  | -                                   | -                         | -             | -         | -                                 | -                              | -                                  |



|  |             |   |   |   |   |   |   |   |
|--|-------------|---|---|---|---|---|---|---|
|  | Grand Total | - | - | - | - | - | - | - |
|--|-------------|---|---|---|---|---|---|---|

**Trend of monthly disposal of complaints**

| Sr. No. | Month           | Carried forward from previous month | Received | Resolved* | Pending** |
|---------|-----------------|-------------------------------------|----------|-----------|-----------|
| 1       | April, 2025     | -                                   | -        | -         | -         |
| 2       | May, 2025       | -                                   | -        | -         | -         |
| 3       | June, 2025      | -                                   | -        | -         | -         |
| 4       | July, 2025      | -                                   | -        | -         | -         |
| 5       | August, 2025    | -                                   | -        | -         | -         |
| 6       | September, 2025 | -                                   | -        | -         | -         |
| 7       | October, 2025   | -                                   | -        | -         | -         |
| 8       | November, 2025  | -                                   | -        | -         | -         |
| 9       | December, 2025  | -                                   | -        | -         | -         |
| 10      | January, 2026   | -                                   | -        | -         | -         |
| 11      | February, 2026  |                                     |          |           |           |



|    |             |  |  |  |  |
|----|-------------|--|--|--|--|
| 12 | March ,2026 |  |  |  |  |
|----|-------------|--|--|--|--|

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.



^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

| <b>Sr. No.</b> | <b>Year</b>        | <b>Carried forward from previous year</b> | <b>Received during the year</b> | <b>Resolved during the year</b> | <b>Pending at the end of the year</b> |
|----------------|--------------------|---|---------------------------------|---------------------------------|---------------------------------------|
| 1              | 2017-18            | -   | -                               | -                               | -                                     |
| 2              | 2018-19            | -   | -                               | -                               | -                                     |
| 3              | 2019-20            | -   | -                               | -                               | -                                     |
| 4              | 2020-21            | -   | -                               | -                               | -                                     |
| 5              | 2021-22            | -   | -                               | -                               | -                                     |
| 6              | 2022-23            | -   | -                               | -                               | -                                     |
| 7              | 2023-24            | -   | -                               | -                               | -                                     |
| 8              | 2024-25            | -   | -                               | -                               | -                                     |
| 9              | 2025-26            |   |                                 |                                 |                                       |
|                | <b>Grand Total</b> |   |                                 |                                 |                                       |